

Form PTO-1449
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U.S. DEPARTMENT OF COMMERCE
PATENT AND TRADEMARK OFFICE

Attorney Docket Number:
BEAS-01077US3

Application/Patent Number:
09/975,587

Information Disclosure Statement
BY APPLICANT
(Use several sheets if necessary)

Applicant/Patent Owner: Jacobs et al.

Filing/Issue Date: October 11, 2001

Group Art Unit: 2155

U.S. PATENTS

Examiner Initial		Patent Number	Issue Date	First Named Inventor	Class	Subclass	Filing Date
/AB/	1.	5,163,148	11/10/1992	Walls	—	—	
	2.	5,805,798	09/08/1998	Kearns et al.	—	—	
	3.	6,122,629	09/19/2000	Walker et al.	—	—	
	4.	6,389,462	05/14/2002	Cohen et al.	—	—	
	5.	6,405,219	06/11/2002	Saether et al.	—	—	
	6.	6,505,241	01/07/2003	Pitts	—	—	
↓	7.	6,963,857	11/08/2005	Johnson	—	—	

U.S. PATENT PUBLICATIONS

Examiner Initial		Patent Application Publication Number	Publication Date	Applicant
/AB/	8.	2003/0233433	12/18/2003	Halpern
↓	9.	2006/0168118	07/27/2006	Godlin et al.

FOREIGN PATENT DOCUMENTS

Examiner Initial		Document Number	Publication Date	Country	Class	Subclass	Translation Yes No
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NON-PATENT LITERATURE DOCUMENTS

(Include name of the author (in CAPITAL LETTERS), title of the article, title of the item (book, magazine, journal, serial, symposium, catalog, etc.) date, pages, volume-issue number(s), publisher, city and/ or country where published.

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**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**
(Not for submission under 37 CFR 1.99)

Application Number	09975587
Filing Date	2001-10-11
First Named Inventor	Dean Bernard Jacobs
Art Unit	2155
Examiner Name	Alicia Baturay
Attorney Docket Number	BEAS-01077US3

U.S. PATENTS
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Examiner Initial*	Cite No	Patent Number	Kind Code ¹	Issue Date	Name of Patentee or Applicant of cited Document	Pages, Columns, Lines where Relevant Passages or Relevant Figures Appear
/AB/	1	7171692		2007-01-30	DeMello, et al.	
	2	6757708		2004-06-29	Craig, et al.	
	3	6505200		2003-01-07	lms, et al.	
	4	4714996		1987-12-22	Gladney, et al.	
	5	7100195		2006-08-29	Underwood	
	6	6721777		2004-04-13	Sharma	
	7	6832238		2004-12-14	Sharma	
↓	8	6212521		2001-04-03	Minami, et al.	

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/AB/	9	6779017		2004-08-17	Lamberton, et al.	
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U.S.PATENT APPLICATION PUBLICATIONS

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/AB/	1	20020147961		2002-10-10	Charters, et al.	
↓	2	20030236923		2003-12-25	Jeyaraman, et al.	
↓	3	20020161839		2002-10-31	Colasurdo, et al.	

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Examiner Initials*	Cite No	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc), date, pages(s), volume-issue number(s), publisher, city and/or country where published.	T ⁵
/AB/	1	STEARNS, "Using the J2EE Connector Architecture Common Client Interface", retrieved from http://java.sun.com/developer/technicalArticles/J2EE/connectorclient/index.html , 3/26/2007, pp. 1-10, Sun Microsystems Inc, April 2001	<input type="checkbox"/>

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/AB/	2	MARINESCU, "Bea Weblogic Server 6.1 Has Been Released", The ServerSide.com, July 31, 2001, pp. 1-6	<input type="checkbox"/>
	3	FLOWERS, "The J2EE Connector Architecture", Sys-con Media, May 1, 2001, pp:1-4	<input type="checkbox"/>
	4	RANA, et al., "Java Junction", Intelligent Enterprise, April 16, 2001, pp. 1-9	<input type="checkbox"/>
	5	STANHOPE, "J2EE Connector Architecture Promises to Simplify Connection to Back-End Systems", Giga Information Group, November 16, 2000, pp. 1-4, Sun Microsystems Inc.	<input type="checkbox"/>
	6	SARATHY, et al., "Integrating Java Applications with the Enterprise", EAI Journal, May 2001, pp. 50-55	<input type="checkbox"/>
	7	BEA SYSTEMS, WebLogic Server 6.1, September 15, 2001, 36 pages retrieved from http://e-docs.bea.com/wls/docs61/jconnector/overview.html	<input type="checkbox"/>
	8	RODONI, "The J2EE Connector Architecture's Resource Adapter", December 2001, pp.1-12, Sun Microsystems Inc.	<input type="checkbox"/>
	9	VISVESWARAN, et al. "Dive into Connection Pooling with J2EE", October 2000, pp. 1-7, Sun Microsystems Inc.	<input type="checkbox"/>
↓	10	BEA SYSTEM, "Overview of the WebLogic J2EE Connector Architecture", WebLogic Server 6.1, September 19, 2001, 26 pages retrieved from http://e-docs.bea.com/wls/docs61/jconnector/aboutdoc.html	<input type="checkbox"/>

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Examiner Signature	/Alicia Baturay/	Date Considered	07/19/2007
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CERTIFICATION STATEMENT

Please see 37 CFR 1.97 and 1.98 to make the appropriate selection(s):

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☒ Fee set forth in 37 CFR 1.17 (p) has been submitted herewith.

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SIGNATURE

A signature of the applicant or representative is required in accordance with CFR 1.33, 10.18. Please see CFR 1.4(d) for the form of the signature.

Signature	/Joseph P. O'Malley/	Date (YYYY-MM-DD)	2007-05-18
Name/Print	Joseph P. O'Malley	Registration Number	36226

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/Alicia Baturay/